

Name:
Enrolment No:



UNIVERSITY WITH A PURPOSE

UNIVERSITY OF PETROLEUM & ENERGY STUDIES

End Semester Examination (Online) – July, 2020

Program: BBA(FAS)
Subject/Course: Investment/ Merchant Banking
Course Code: FINC3009

Semester : IVth
Max. Marks: 100
Duration : 3 Hours

IMPORTANT INSTRUCTIONS

1. The student must write his/her name and enrolment no. in the space designated above.
2. The questions have to be answered in this MS Word document.
3. After attempting the questions in this document, the student has to upload this MS Word document on Blackboard.

	Attempt any Five Questions Answer each Question in more than 500 Words	Marks	Cos
Q.1	Explain briefly the various services rendered by Merchant Banker in India?	20	CO2
Ans.			
Q.2	In Merchant Banking the SEBI rules requires that licensed intermediaries must establish a system of adequate internal control and supervision procedures to prevent any financial loss resulting from theft , fraud ,dishonest acts misconduct or omission to prove the statement explain the need and function of Stock Broker?	20	CO3
Ans.			
Q.3	Mr. Clever who is registered as an intermediary fail to enter into an agreement with his client and hence penalized by SEBI under section 15B of the SEBI Act, Advice Mr. Clever as to what are the remedies are available to him against the order of SEBI?	20	CO4
Ans.			
Q.4	Hedge fund as a whole are becoming a prominent segment of the asset management industry and gaining popularity from investors particularly high net worth investors in the light of above statement explain characteristics of Hedge Funds?	20	CO1
Ans.			
Q.5	Corporate advisory refers to the activity of advising organizations including corporations , institution and Government bodies on Merger and Acquisition explain the Pro's and Con's of Merger and Acquisition along with differences?	20	CO3

Ans.			
Q.6	Venture capital is the capital invested in young fast growing and changing companies that have high growth in exchange for equity stake prove the statement with Venture Capital Investment Process, Key Success Factors and Advantages?	20	CO2
Ans.			